The Beethoven Violin Concerto in D Major, Op. 61: Some 20th Century Viewpoints

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Time Line: • Time Line: for the Interpreters of the Beethoven Violin Concerto (with links to bios and photos)


Parts: • Concerto for Violin, Op 61: Set of parts (incl strings 4/4/3/2/2) Broude Brothers Limited

Cadenzas: • Cadenzas to Violin concerto op. 61. By M. Hennig. For Violin solo. Published by C.F. Peters • 3 Kadenzen zu Beethoven op. 61. By Ferruccio Busoni. Breitkopf and Haertel • 3 Kadenzen zu Beethoven Violinkonzert. By Tossy Spivakowsky. Breitkopf and Haertel • Three Cadenzas For Beethoven's Violin Concerto. By Jeno Hubay. Published by Bosworth. • Cadenzas to Violin concerto op. 61. Transcription by W. Schneiderhan after the original Cadenzas to the Piano Version of the Concerto. Urtext, G. Henle. • Cadenzas for Beethoven's Violin Concerto. By Joseph Joachim (1831-1907). Violin solo. Published by International Music Co. • Cadenzas to Violin Concertos. By Joachim. For Violin. Beethoven,Op.61(D); Brahms,Op.77(D); Mozart,K.218(D)and K.219(A); Viotti,No.22(a). Published by C.F. Peters. • Three Cadenzas to the Violin Concerto. Arranged by Fritz Kreisler. For Violin. Published by Carl Fischer. • Cadenzas based on Beethoven's violin concerto. By A. Wilhelmj. For Violin solo. Published by C.F. Peters.

In 1806 Beethoven was persuaded to write his monumental violin concerto in D Major, Opus 61, for Franz Clement, leader of the theater orchestra at Wien. Clement had been a child prodigy, and was considered to be a remarkable violinist with a prodigious memory, but was, as well, something of a charlatan in that some of his public performances were said to be circus-like. He performed the Beethoven Concerto by sight-reading (though there is some dispute about this) with an unhearsheed orchestra, and he divided the work up, inserting a sonata of his own after the first movement, playing his sonata with the violin up-side-down, and on one string. The first performance was a benefit concert for Clement, but it is not known whether Beethoven consulted Clement about the violin part. The piece, written in haste, was not met with very enthusiastic reviews (Möser wrote that the thematic material was commonplace, confused, wearisome and too repetitious) and the work had only three performances between 1806 and 1844.

Piano concertos were more popular during that time, and Beethoven was persuaded to write a piano version, which was published the following year, a year before the composer subjected the violin concerto to a final revision. There was no known exchange between the composer and the performer after the initial performance. No violin cadenzas were written by Beethoven, though he wrote cadenzas for the piano version, and violin cadenzas were subsequently written by Joachim, David, Kreisler and many other celebrated violinists. One can really better understand why there is no definite edition of the work, considering the composer's indecision and haste and how improvised the première of the work was. In 1828 Pierre Baillot played the nearly forgotten violin concerto of Beethoven, which, since its première in 1806, had received only one performance, in Berlin, in 1812. The fourteen-year-old Henri Vieuxtemps played it in Vienna in 1834. However, it did not begin to find its first interpreters and admirers until Joseph Joachim performed it in London in 1844 under the direction of Mendelssohn; both artists were vitally interested in stimulating appreciation of the classical masters. As late as 1855, Louis Spohr (who rejected the late works of Beethoven), said to Joachim after a performance of the Beethoven Concerto that he supposed it was fine in its own way, but he would rather hear Joachim play a "real" violin piece. [1]

This negative judgment was conditioned by the artificial bravura practices of the early 19th century; concertos were written for the purpose of displaying the player's pyrotechniques, sometimes leading to music which was bizarre, sentimental and eclectic. Spohr wrote his first five violin concertos before Beethoven completed his, and neither Spohr nor his contemporaries gave attention to the merits of Beethoven's Concerto. The Beethoven Concerto was not a display piece, and found slow acceptance by virtuosi, who had, during that era, their own concerti primarily in mind, with the desire to showcase their particular virtuosic strengths. Beethoven's towering musical concepts, mirroring the spirit of reform, democracy and revolution, and his idiomatic treatment of the violin and pianistic thinking, had to wait for a later era to be appreciated.

Beethoven was aware of the conflict between his vision and the performance practices of contemporary violinists, and assigned four staves to the violin solo, in order to leave room for alterations; in many places the four staves are filled. Beethoven had studied the concerti of his contemporaries and predecessors; while Rode's technical propensities were too intricately violinistic to appeal to Beethoven, the influence of Viotti's characteristic use of broken octaves and Kreutzer's elaborating of a melodic line in triplet passages were put to good use in the concerto.
Joachim was the most widely accepted interpreter of the Beethoven Concerto, and his cadenzas are still played. The interpretations of Vieuxtemps and Sarasate were compared unfavorably to Joachim's. Vieuxtemps was said to slide up and down the strings à la Paganini, and Sarasate's elegant and immaculate style was not suitable, according to Flesch, for such a large scale composition. The interpretations of Ysaÿe (the last eminent violinist in the tradition of the Liszt-Rubinstein interpreter) were also characterized by the attitude of his generation that textual fidelity should be secondary to expansiveness of feeling and that it was acceptable to take liberties if doing so brought life to the music.

Violin technique developed through time, however, and interpretation began to be discussed more fully as traditional habits began to outlive their usefulness; developments in left and right hand technique and tone production kept place with a more systematic approach to the violin, parallel to advancements in science and political thought. Included in the developments over the past two centuries would be a greater left-hand mobility, the preservation of uniform tone color within a phrase, and the use of positions not for convenience but with a view to expression and evenness of tone. Sequences are given matching fingerings, bowing and string changes, and the stark tonal contrast between open and stopped strings is avoided, as well as an attempt made always to veil the differences in timbre between strings. These adjustments were all the more necessary because with the introduction of metal covered strings the sounds of the strings became harder still.

The complex of new technical devices included simplifications connected with the elimination of unnecessary movements of the left hand, use of even numbered positions, and rational fingerings. The combined use of the pronation of the hand, with hand, finger and wrist pressures, evolved from the necessity of producing larger sounds to fill the larger halls. Isaac Stern writes of the circle of pressure created by the opposition of thumb and second finger, which is a very different thing from the isolated index-finger, or wrist, or thumb pressure which was taught in the last century. In addition, older halls generally had more reverberations and, therefore, if tempos are sometimes faster today, it may be because a too fast tempo in an older hall would have been blurred for acoustical reasons. Bow speed and pressure, which is responsible for dynamic shading, was not treated in as much depth by 18th century writers as it was by their 19th century successors. Bow management relative to the Tourte bow only began to be formulated in detail in Capet's school, and in the Methode by Rode and his contemporaries during the period 1800-1840.

By the last decade of the 20th century, issues such as tempo markings in Beethoven's work, and the inevitable conflict over upper note start of trills [2] have been thoroughly explored by musicologists. Nonsensical adjectives (when applied to music), such as "masculine" and "virile," have fallen completely out of fashion, though they were used and applied liberally not so very long ago. Additionally, the autocracy of the bar line has been subjected to less dogmatic interpretation, and new concepts of dissonance have widened perceptions. A regard for psychological effect and a focus on musical and aesthetic content have become central to interpretation. Beethoven's concerto may be thought of as a portal through which we may view the artistic and intellectual climate of the previous two centuries.

The following table lists examples taken from 20th century pedagogical literature which illustrate the refinements of technique which have evolved since the first performance and represent the most modern concerns about this work. These illustrations may assist in correcting the prevailing type of
study which was widespread from the second half of the 19th century, and which had a one-sided fixation on isolated technical points and purely mechanical and gymnastic training. Choices of bowing and fingering are vital factors in artistic playing, and creatively serve and enrich the expressiveness of the instrument, allowing its full possibilities to come to fruition.

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Graphics by [Catherine Schmidt-Jones](#)

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In terms of clarity of sound, a contribution toward performance practice may be found in a special technique for playing the octaves in the first movement of the Beethoven; Robert Gerle extrapolates from the rule of making a double-stop shift on pairs of strings by using the lower set of fingerings to move into the new position before placing the next shift:

**Example 1 - Gerle, Fingered Octaves**

(b) in Octaves with 1-4:

The same rules apply as for same-finger shifts:

Violin Concerto: first movement Beethoven

![Example 1 - Gerle, Fingered Octaves](image)

Joseph Szigeti is particularly interested in the question of fingered octaves, the use of which cannot help but contribute to technical security. He is astounded that not one of the many editions of the Beethoven Concerto has suggested a fingered octave solution until his 1963 (Curci, Milan) edition. One bar before the repetition of the theme in the third movement is an especially useful example of this sort of fingering:

**Example 2- Szigeti, Fingered Octaves**

![Example 2- Szigeti, Fingered Octaves](image)

Ex. 2
I.M. Yampolsky compares traditional and fingered octave choices:

Example 3 - Yampolsky, "Rational Fingerings"

Beethoven, Concerto, 1st Mov't

Ivan Galamian quotes from the first movement of the Concerto to illustrate new devices for the elimination of slides. However, he cautions violinists that to go to extremes in trying to avoid all slides leaves the playing colorless, dry and cold. One should avoid unmusical slides, but fingering should be used to color the music, and to allow for an expressive gesture or for the sake of better vibrato and richer sound. Galamian taught the modern Franco-Belgium bow hold, which has entirely superseded that of the German style, characterized by a very high right wrist and low forearm. Two choices of fingering are given:

Example 4 - Galamian, "Creeping Fingerings"

Beethoven: *Concerto in D major*, Op. 61
First movement (measures 4-7 of the solo)

Yampolsky favors finger extensions for broken thirds:
Example 5 - Yampolsky, Broken Thirds

**Examples of broken thirds:**

Bach, Partita in B major, Prelude

Better

\[
\begin{array}{c}
\text{1} \quad \text{4} \\
\text{2} \quad \text{3} \\
\text{2} \quad \text{1} \\
\text{3} \quad \text{4}
\end{array}
\]

Worse

\[
\begin{array}{c}
\text{1} \quad \text{3} \\
\text{2} \quad \text{4} \\
\text{2} \quad \text{1} \\
\text{3} \quad \text{4}
\end{array}
\]

Allegro ma non troppo

Beethoven, Concerto, 1st Movt.

\[
\begin{array}{c}
\text{1} \quad \text{3} \\
\text{2} \quad \text{4} \\
\text{2} \quad \text{1} \\
\text{3} \quad \text{4}
\end{array}
\]

Presto

Beethoven, Kreutzer Sonata, 1st Movt.

\[
\begin{array}{c}
\text{1} \quad \text{3} \\
\text{2} \quad \text{4} \\
\text{2} \quad \text{1} \\
\text{3} \quad \text{4}
\end{array}
\]

The juxtaposition of stopped and open strings may strengthen the expressive contrast of a passage (Yampolsky):

**Example 6 - Yampolsky, Expressive Contrast**

The use of the open strings as an important aid to imperceptible changes of position has already been mentioned (see the chapter "Changes of Position"). The sound of the open strings also plays an important role in artistic phrasing often serving as a means of strengthening the expressive contrast in a musical phrase. Thus the juxtaposition of stopped and open strings for a single note in a repeated melodic phrase throws it into relief and gives it a variety of tone colour:

Allegro ma non troppo

Beethoven, Concerto, 1st Movt.

\[
\begin{array}{c}
\text{1} \quad \text{3} \\
\text{2} \quad \text{4} \\
\text{2} \quad \text{1} \\
\text{3} \quad \text{4}
\end{array}
\]

An effective contrast between a stopped string and a natural harmonic on the same note (Yampolsky):

**Example 7 - Yampolsky, Effective Tonal Contrast**

(\textit{p}d. The replacement of the sound of a stopped string by a natural harmonic on the same note in the repetition of a melodic fragment makes an effective tonal contrast):

Allegro

Beethoven, Concerto, 3rd Movt.

\[
\begin{array}{c}
\text{1} \quad \text{3} \\
\text{2} \quad \text{4} \\
\text{2} \quad \text{1} \\
\text{3} \quad \text{4}
\end{array}
\]

A greater security of intonation and an avoidance of unnecessary string changes can be achieved by
devising a special point of support on the fingerboard (Yampolsky):

**Example 8 Yampolsky, Point of Support**

Fingering and Intonation

The purity of the violinist’s intonation depends to a certain extent on his choice of fingering. A bad fingering is often the reason for uncertain and incorrect intonation, even in technically easy passages. This is the result of the awkward movements of the hand and fingers which are required by such fingerings. For example:

![Example 8 Yampolsky, Point of Support](image)

The fingering given above, which requires an extension of the second finger followed by the first finger crossing strings, gives the fingers no point of support, which is the reason for the uncertain intonation. The advantage of the following fingering:

![Example 8 Yampolsky, Point of Support](image)

is that by doing away with the unnecessary string crossing of the first finger, it gives a natural point of support for the precise movements of the fingers in the given hand position and thus makes for more accurate intonation.

However, the cause of uncertain intonation are not only bad fingering. It is well known that violinists make the same mistakes in intonation when playing the same passages in certain works. This is explained by the fact that such passages are not only technically difficult, but awkward as well.

Szigeti is known for his scholarly approach to violin technique, and many of his suggestions are very useful for some violinists. In the first movement of the concerto he has examined what is "natural" versus what is "unnatural" and come up with some very interesting alternatives:
Szigeti devotes several pages to the conflicting schools of thought regarding the articulation of the Rondo theme. It is unclear in the original score whether the mark above the D is a fingering or an elongated dot, and the manuscript can be made to support either reading. There is an unmistakable slur between the A and D, but it may be in different ink from that of the notes. Thus at least two interpretations of the theme may be considered:

**Example 10 - Szigeti, Articulation**

two 'schools of thought' in this question : the one favours a slur between the A and the D of the theme (G string) :

![Ex. 1 diagram]

the other detaches (on one bow) the A from the D.

If one chooses the slurred example, it is necessary to use the fingering suggested by Wilhelmj in the old Peters edition:
Example 11 - Fingering

The slurred approach necessitates either the fingering suggested by Wilhelm in the already mentioned undependable old Peters edition:

Ex. 3

An unwelcome slide is produced if one takes the Joachim and Sauret fingering, and it is a dangerous choice in terms of security of performance:

Example 12 - Slide

with the (to our ears) unwelcome slide, or the Joachim and Sauret fingering

Ex. 4

which involves any player who is less than a superb technician in a bump and pernicious jump from the first position to fifth (between D and F sharp) and back.

Carl Flesch chose Example 10(b), which allows the player to comfortably change position during the pause, and Hubay, who studied with Joachim, agrees with Flesch, as does Francescatti. There is even a fourth choice; Czerny gives the theme with the dots below the slur:

Example 13 - Articulation

It is precisely these F sharps that are the danger points and that would invalidate the all-important terzato on the A’s and lead to what we all want to avoid: a jogged, over-accented delivery something like this:

Ex. 5

Carl Czerny (1791–1857), Beethoven’s pupil between 1801 and 1803, in his writings about his master gives the theme of the rondo in its version for Piano and Orchestra, with this orthography:

Ex. 6

with the dots below the slur. (By the way he calls it vielleicht sein grösstes und schönstes Konzert.)

Following is a table which illustrates the time line for thirteen major interpreters of the Beethoven concerto. As is often the case in the history of art music, the integral value of a work may not come to light until long after the work has been produced and even temporarily forgotten. Tastes change and as everyone knows, genius is often ahead of its time.
Endnotes

• Spohr augmented the orchestra, but did not exploit its possibilities for color or thematic participation. The solo violin dominated autocratically and the orchestra remained subservient. The cello and bass parts were almost always doubled, in the conservative tradition of Mozart and Haydn, and Spohr continued to use the antiquated clarino. His works lacked the spirit of modern polyphony and Beethoven's rhythmic and thematic brilliance.

• Since Beethoven's fingerings in his piano music show alternate use of both main note and auxiliary note start of trills, the notion that all of Beethoven's trills are to begin on the main note is not absolutely secure; he never completely abandoned 18th century practice in this regard. Examples of upper-note starts can also be seen from measured trills in the Sixth Symphony (2nd movement, solo flute, bar 131) and in the Ninth Symphony (3rd movement, first violins, bar 129).

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